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**FORM PTO-1082**  
**TRANSMITTAL FOR NEW U.S. PATENT APPLICATION**

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

**BOX APPLICATIONS**

Re: New U.S. Patent Application  
For: METHOD FOR GENERATING ANIMATIONS OF  
A MULTI-ARTICULATED STRUCTURE,  
RECORDING MEDIUM HAVING RECORDED  
THEREON THE SAME AND ANIMATION  
GENERATING APPARATUS USING THE SAME  
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Attorney Docket: 162/464

Sir:

Attached hereto is the application identified above, including 33 pages of textual specification including 37 numbered claims, and 7 sheets of drawings.

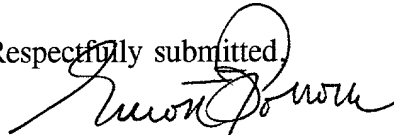
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NO. FILED				NO. EXTRA	RATE	FEE		RATE	FEE
TOTAL	48	MINUS	20	= 28	x11=	\$		x22=	\$616
INDEP	3	MINUS	3	=	x40=	\$		x80=	\$
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TOTAL FILING FEE* (accounting for possible small entity status)						\$385	OR TOTAL		\$1646

- [ ] \*Reduced by one-half, as applicant(s) is/are a "small entity". A Declaration Claiming Small Entity Status:  
[ ] is filed herewith;  
[ ] will be filed at a later date.

- 466797-637630
- ☒ Foreign priority is claimed under 35 U.S.C. § 119 from Japanese Patent Application(s) No. 219972/96 dated August 21, 1996.
- ☐ Priority document(s) will be submitted at a later date.
- ☒ Priority document is submitted herewith.
- ☐ There is no claim to foreign priority under 35 U.S.C. § 119.
- ☒ Executed Declaration is submitted herewith.
- ☐ Executed Declaration(s) will be submitted at a later date pursuant to 37 CFR § 1.41 and § 1.53, with an appropriate surcharge under 37 CFR § 1.16(e).
- ☒ Formal drawings are attached.
- ☐ Formal drawing(s) will be submitted at a later date.
- ☒ Assignment document is submitted herewith, along with Form PTO-1595; the recordation fee of \$40.00 per document is enclosed herewith.
- ☐ The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.
- ☐ No.
- ☐ Yes. Amend the specification by inserting before the first line the sentence:  
--The U.S. Government has a paid-up license in this invention and the right in limited circumstances to require the patent owner to license others on reasonable terms as provided for by the terms of \_\_\_\_\_[contract/grant number] awarded by \_\_\_\_\_[Agency].--
- ☒ A check in the amount of \$ 1686.00 is enclosed. The Commissioner is hereby authorized to charge fee any deficiency under 37 CFR §§ 1.16 or 1.17, or credit any overpayment, to Deposit Account No. 22-0185. A duplicate copy of this form is attached.
- ☐ No payment is enclosed at this time. Full payment will be made when the executed Declaration is submitted.
- ☒ The Commissioner is hereby authorized to charge any fee deficiency, except the filing fee, *during the entire pendency of the present application*, or credit any overpayment, to Deposit Account No. 22-0185. A duplicate copy of this Form is enclosed.

Respectfully submitted,



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Date: August 19, 1997

S P E C I F I C A T I O N

TO ALL WHOM IT MAY CONCERN:

BE IT KNOWN that we, KEN TSUTSUGUCHI, a subject of Japan and residing at Yokosuka-shi, Kanagawa, Japan, YASUHITO SUENAGA, a subject of Japan and residing at Nagoya-shi, Aichi, Japan, YASUHIKO WATANABE, a subject of Japan and residing at Yokohama-shi, Kanagawa, Japan and NOBORU SONEHARA, a subject of Japan and residing at Zushi-shi, Kanagawa, Japan have invented certain new and useful improvements in

"METHOD FOR GENERATING ANIMATIONS OF A  
MULTI-ARTICULATED STRUCTURE, RECORDING MEDIUM  
HAVING RECORDED THEREON THE SAME AND ANIMATION  
GENERATING APPARATUS USING THE SAME"

and we do hereby declare that the following is a full, clear and exact description of the same; reference being had to the accompanying drawings and the numerals of reference marked thereon, which form a part of this specification.

TITLE OF THE INVENTION

METHOD FOR GENERATING ANIMATIONS OF A MULTI-ARTICULATED  
STRUCTURE, RECORDING MEDIUM HAVING RECORDED THEREON THE SAME  
AND ANIMATION GENERATING APPARATUS USING THE SAME

5 BACKGROUND OF THE INVENTION

The present invention relates to a method for generating  
animations of a human figure modeled by a multi-articulated  
structure in computer graphics (CG) and, more particularly,  
to a method for generating animations of shoulder rotation  
10 and arm swing of a human figure modeled by a multi-articulate  
d structure constructed by rigid bars or sticks connected or  
joined by joints, a recording medium having recorded thereon  
the method and an animation generating apparatus using the  
method.

15 In conventional methods for generating human figure  
animations, it is customary to model human arms, legs, body,  
head and so forth as multi-articulated structures constructed  
from rigid links coupled by joints just like a robot arm. In  
this instance, the position and direction of each link are  
20 represented by polar or cylindrical coordinates parameters or  
Euler angles. In a D-H method (Denavit-Hartenberg method), a  
joint-link parameter of an i-th link in a multi-articulated  
structure constructed by plural links sequentially coupled by  
joints is represented by  $Joint_i = [a_i, \alpha_i, d_i, \theta_i]$  to express link  
25 motions (K.S.Fu. et al, "ROBOTICS:Control, Sending, Vision,  
and Intelligence," McGraw-Hill, 1987). In either case, the  
method for generating animations of various parts of the  
human body by the use of such parameters utilizes (1) an  
interpolation scheme that employs linear or elementary

functions, (2) a scheme that formulates an equation of motion and performs numerical calculations to satisfy initial and final conditions, or (3) a scheme that uses motion data obtained by extracting feature parameters of joint positions in the human body from an image taken by a video camera or measuring positional changes of the human body by a magnetic or electric sensor.

The creation of animations through the use of these parameters requires skill and is low in operation efficiency because it is hard to judge how these parameters directly (visually) contribute to the magnitude or direction of, for instance, arm or leg motions, or because the individual parameters cannot directly be controlled, or because it is difficult to control a motion generating method for each parameter.

#### SUMMARY OF THE INVENTION

An object of the present invention is to provide an animation generating method according to which, in the generation of an animation of a human figure modeled by a multi-articulated structure using rigid sticks joined by joints, parameters contributing to the motions of respective rigid sticks are easy to identify and individually controllable and motion generating schemes for the respective parameters can freely be selected or combined.

Another object of the present invention is to provide an animation generating method using the above method and a recording medium with the method recorded thereon.

The animation generating method according to the present

invention models the human body including shoulders and arms by a multi-articulated structure made up of plural rigid sticks connected by joints and generates the modeled human figure motions. This method comprises the following steps:

5 (a) defining constraint planes in which the modeled rigid sticks of the arms are allowed to move about the joints connecting them;

(b) determining parameters that define angular positions of the modeled rigid sticks of the arms in the constraint  
10 planes, respectively, and creating motion models of the rigid sticks each corresponding to one of the parameters; and

(c) generating motions of the rigid sticks by calculating temporal variations of the parameters.

The animation generating apparatus according to the  
15 present invention models the human body including shoulders and arms by a multi-articulated structure made up of plural rigid sticks connected by joints and generates human figure animations. This apparatus comprises:

configuration modeling means for disposing the rigid  
20 sticks of the arms in respective constraint planes;

shoulder position calculating means for calculating the positions of the shoulder joints;

motion modeling means for determining motion models representing motions of the rigid sticks of the arms; and

25 arm angle calculating means for calculating angular positions indicating the orientations of the arms at a given point of time in accordance with the motion models.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a diagram showing an example of a multi-articulated structure model, for explaining the principles of the present invention;

Fig. 2 is a block diagram illustrating an embodiment of the animation generating apparatus according to the present invention;

Fig. 3 is a diagram showing a model in the case where parameters for shoulders in the multi-articulated structure model of Fig. 1 are increased;

Fig. 4 is a diagram showing a multi-articulated structure model in the case where upper and lower arms lie in different constraint planes in Fig. 1;

Fig. 5 is a block diagram illustrating another embodiment of the animation generating apparatus according to the present invention;

Fig. 6 is a diagram for explaining the modeling of motions of upper and lower arms in the same constraint plane; and

Fig. 7 is a block diagram illustrating still another embodiment of the animation generating apparatus according to the present invention.

#### DESCRIPTION OF THE PREFERRED EMBODIMENTS

Fig. 1 schematically illustrates a multi-articulated structure and motions of its respective parts, for explaining the principles of the present invention. According to the principles of the present invention, the human body is modeled by a multi-articulated structure including both shoulders and both arms formed by linking rigid sticks with

joints, the arms each linked to one of the shoulder joints are allowed to rotate in a constraint plane passing through the link, and the position of the arm is defined by its angle to a reference line in the constraint plane. Hence, a  
5 parameter that defines the position of the arm is only an angle, and since the angle directly represents the angular position of the arm in the animation, motions of respective parts of the multi-articulated structure can easily be set in the production of the animation and angular position  
10 control is simple.

In Fig. 1, let it be assumed that a rigid stick 11 of a length  $2W$  joining left and right shoulder joints  $13_L$  and  $13_R$  is a modeled version of both shoulders of the human body and that the center  $O$  of the rigid stick 11 is set at a reference  
15 position  $(x_0, y_0, z_0)$  in a coordinate system  $(x, y, z)$ . The normal of a circle 14 of rotation of the rigid stick 11 on the  $y$  axis (a vertical axis) passing through the center  $O$  of the rigid stick 11 vertically thereto represents the axial direction of the human body (the direction of the backbone).  
20 In the Fig. 1 example, the circle 14 sits in an  $x-z$  plane, but as will be described later on, the plane of the circle 14 need not always to cross the  $y$  axis at right angles thereto. The right shoulder joint  $13_R$  has rotatably connected thereto one end of the right upper arm  $12_1$  modeled by a rigid stick,  
25 to the other end of which is rotatably connected the right lower arm  $12_2$  similarly modeled by a rigid stick. The coordinates  $(x_s, y_s, z_s)$  of one end of the rigid stick 11 (i.e. the shoulder joint  $13_R$ ) in the 3D space are unequivocally



determined by the following equation, based on an angle  $\theta$  between the projection of the rigid stick 11 to the x-z plane and the z axis and the shoulder width  $2W$ .

$$x_s = x_0 + W \sin \theta$$

5  $y_s = y_0$

$$z_s = z_0 + W \cos \theta$$

Suppose that the motion of the upper arm  $12_1$  of a length  $L_1$  is constrained in a plane 15 containing a tangent 15a to the circle 14 at the upper end of the upper arm  $12_1$ , that is, at the shoulder joint  $13_R$ . This plane will hereinafter referred to as a constraint plane. In other words, the upper arm  $12_1$  is rotatable about the shoulder joint  $13_R$  in the constraint plane 15. The angular position of the upper arm  $12_1$  is defined by an angle  $\phi_1$  between the upper arm  $12_1$  and a reference line 15b that is a straight line along which a plane containing the rigid stick 11 and the y axis crosses the constraint plane 15. An angle  $\rho$  that the constraint plane 15 forms with the y axis represents a tilt angle of the upper arm  $12_1$  from the body and an angle  $\rho_1$  of the upper arm  $12_1$  to the reference line 15b the angular position of a swing of the upper arm  $12_1$  from the body in the front-to-back direction. Similar angular positions of the right arm are also defined though not shown in Fig. 1.

In the example of Fig. 1, the motion of the lower arm  $12_2$  of a length  $L_2$  connected to the lower end of the upper arm  $12_1$  (that is, to the elbow joint 16) is also constrained in the same constraint plane 15 as that of the upper arm  $12_1$  and its angular position is defined by an angle  $\phi_2$  that the lower arm

12<sub>2</sub> forms with the upper arm 12<sub>1</sub>. The parameter  $\phi_2$  representative of the angular position of the lower arm 12<sub>2</sub> is also a parameter that directly indicates the attitude of the lower arm 12<sub>2</sub> of the human figure model.

5 In contrast to the above, the prior art uses the coordinates (x,y,z) to represent the positions of feature points of a human figure animation (for example, an eye, a nose, tiptoe, an elbow, etc.) and generates an animation by expressing their motions using a linear interpolation or  
10 equation of motion, but in the case of using the coordinates (x,y,z) as parameters, it is no easy task for an observer to comprehend or grasp the attitude of the 3D animation. Also in the case of using cylindrical or polar coordinates, the angle parameters are not easy to grasp because the parameter  
15 representation does not match the actual human instinctive control. That is, since it is hard to learn which motion each parameter contributes to, it is no easy task to instinctively determine, for example, movement limits of respective parts of the human figure for generating an  
20 animation.

That is, the parameters  $\rho$ ,  $\phi_1$  and  $\phi_2$ , which define the arm 12 to which the present invention is applied, are parameters that enable the observer to directly understand the attitude of the human figure model and it is clear the motion to which  
25 each parameter contributes; therefore, these parameters are easy to use for governing the generation of human figure animations. Hence, the present invention has its feature in that motions of human arms are represented by changes in the

arm positions defined by angle parameters in the constraint plane as referred to above.

In this way, according to the present invention, the position of the arm of the human figure is defined by the angle parameters in the constraint plane and the arm motion or swing is expressed using temporal variations of the angle parameters as described below.

The modeling of the arm motion through utilization of the angle parameters can be done, for example, by (1) interpolating between two boundary conditions, (2) using an equation of motion that satisfies two boundary conditions, and (3) using measured data.

With the motion modeling method by linear interpolation, letting the angles  $\phi_1$  and  $\phi_2$  be represented by generalized coordinates  $q$  and the coordinate at time  $t$  by  $q(t)$ , the coordinates at time  $t_1$  and  $t_2$  by  $q_0=q(t_0)$  and  $q_1=q(t_1)$ , respectively, the angular positions  $\phi_1(t)$  and  $\phi_2(t)$  of the upper and lower arms at time  $t$  by the simplest uniform-angular-velocity linear interpolation are given by the following equations:

$$\phi_1(t) = \frac{\phi_1(t_1) - \phi_1(t_0)}{t_1 - t_0} t + \phi_1(t_0) \quad (1)$$

$$\phi_2(t) = \frac{\phi_2(t_1) - \phi_2(t_0)}{t_1 - t_0} t + \phi_2(t_0) \quad (2)$$

where  $t_0 \leq t \leq t_1$  and  $-\pi < \phi_1 < \pi$ ,  $0 < \phi_2 < \pi$ . This linear interpolation is a motion modeling scheme that approximates the angle parameters  $\phi_1$  and  $\phi_2$ , regarding them as linear variables of time.

An example of a nonlinear interpolation for modeling a motion is a sine interpolation scheme. Approximating the human arm swing by sine functions so that the angular velocity of the arm swing becomes zero at its both swing limits, the angular position of the arm can be expressed by time variables as follows:

$$\frac{d\phi_1}{dt} = \omega_1(t) = a \sin \frac{\pi(t-t_0)}{t_1-t_0} \quad (3)$$

$$\frac{d\phi_2}{dt} = \omega_2(t) = b \sin \frac{\pi(t-t_0)}{t_1-t_0} \quad (4)$$

where:

$$a = \frac{\pi \{ \phi_1(t_1) - \phi_1(t_0) \}}{2(t_1-t_0)} \\ b = \frac{\pi \{ \phi_2(t_1) - \phi_2(t_0) \}}{2(t_1-t_0)} \quad (5)$$

As an example of modeling by an equation of motion according to the law of physics, a motion of each part can be expressed by the following Lagrange's equation of motion

$$\frac{d}{dt} \left[ \frac{\partial L}{\partial \dot{q}} \right] - \frac{\partial L}{\partial q} = Fq \quad (6)$$

where L is the Lagrangian of this system, q generalized coordinates of this system and Fq a generalized force concerning q.

Fig. 2 illustrates in block form the configuration of the multi-articulated structure animation generating apparatus according to the present invention, indicated generally by 20. The animation generating apparatus 20 comprises a configuration modeling part 21, a joint position determination part 22, a motion modeling part 23 and an angle

calculation part 24. The configuration modeling part 21 is connected to an input part 6 that inputs information necessary for representing motions of the arm 12. The angle calculation part 24 outputs its calculated angular position of each rigid stick and provides it to a display part 7, which projects a 3D multi-articulated structure constructed by rigid sticks onto a 2D plane, thereby displaying an animation of the projected model.

Any of the above-mentioned methods can be used for modeling of the arm motion. The information necessary for the generation of the animation of the arm 12, for example, the coordinates  $(x_s, y_s, z_s)$  of the shoulder, the length  $L_1$  of the upper arm  $12_1$ , the length  $L_2$  of the lower arm  $12_2$ , initial angular positions  $\phi_1$  and  $\phi_2$  of the upper and lower arms and initial angular velocities at the initial angular positions, are input into the configuration modeling part 21. In the case of using the equation of motion, the mass of each of the upper and lower arms is also input.

The configuration modeling part 21 models the arm 12 by approximating the arm structure with a physical pendulum formed as a rigid body, determines various physical quantities (the lengths, mass, centroids, maximum expansion angle, maximum bend angle and moment of inertia of the upper and lower arms, and outputs these arm models and its determined physical quantities.

The joint position determination part 22 calculates the position of the shoulder joint  $13_R$  that serves as the fulcrum of the rigid physical pendulum of the configuration modeling

part 21. The position of the shoulder joint can be calculated by any methods as long as they regard it as a point in a 3D space and compute its coordinate value and velocity and acceleration.

5       Based on the joint position determined by the joint position determination part 22, the motion modeling part 23 creates, following the designated modeling scheme, a motion model by generating an interpolation formula or equation of motion representing the arm-motion state through the use of  
10   the configuration model and physical quantities output from the configuration modeling part 21.

Next, the arm angle calculating part 24 calculates the angle representative of the configuration of the arm at a certain time  $t$  based on the equation of the motion model  
15   determined by the motion modeling part 23. In this instance, however, the angular position may be computed using plural motion models as described later on.

While Fig. 1 shows a configuration model in which the rigid stick 11 between the both shoulder joints is rotatable  
20   on the  $y$  axis within a predetermined angular range and the coordinate positions  $(x_s, y_s, z_s)$  of each shoulder joint is defined by the angle  $\theta$  of the shoulder about the  $y$  axis and the half shoulder width  $W$ , the rigid stick 11 between the shoulder joints may be made rotatable on the  $x$  axis as well  
25   over a predetermined angular range with a view to creating a more realistic representation of the motion of the human figure model. Fig. 3 shows a configuration model in such an instance. In this example, the rigid stick 11 is shown to

have turned an angle  $\theta$  about the y axis and an angle  $\delta$  about the x axis. Hence, the coordinates  $(x_s, y_s, z_s)$  of the shoulder joint is defined by the following equations using the angles  $\theta$  and  $\delta$  and the half shoulder width W.

5  $x_s = x_0 + W \cos \delta \sin \theta$

$$y_s = y_0 + W \sin \delta$$

$$z_s = z_0 + W \cos \delta \cos \theta$$

When the rigid stick 11 between the both shoulder joints is turned on the vertical coordinate axis y, centrifugal force is exerted on the left and right arms 12 outwardly thereof. The angle  $r$  of the constraint plane 15 to the vertical coordinate axis  $\rho$  may be changed according to the centrifugal force. In the present invention, the motions of the arms 12<sub>1</sub> and 12<sub>2</sub> are defined by the angular positions  $\phi_1$  and  $\phi_2$  in the constraint plane 15 with respect to such given shoulder joint coordinates  $(x_s, y_s, z_s)$ .

In the configuration models of Figs. 1 and 3, the upper and lower arms 12<sub>1</sub> and 12<sub>2</sub> are shown to be movable in the same constraint plane 15, but in order to represent the motion of the arm model more faithfully to the actual arm motion, it is possible to divide the constraint plane 15, by a straight line passing through the elbow joint, into two independent constraint planes 15<sub>1</sub> and 15<sub>2</sub> for the upper and lower arms 12<sub>1</sub> and 12<sub>2</sub>, respectively. The constraint plane 15<sub>2</sub> containing the lower arm 12<sub>2</sub> is made rotatable over a predetermined range of angles about the upper arm 12<sub>1</sub>. Letting the angle of rotation of the constraint plane 15<sub>2</sub> be represented by  $\xi$ , the angular position of the lower arm 12<sub>2</sub> in the constraint plane

15<sub>2</sub> can be defined by the angles  $\phi_2$  and  $\xi$ ; hence, once the coordinates ( $x_E, y_E, z_E$ ) of the elbow joint 16 are defined, the position of the lower arm 12<sub>2</sub> can also easily be defined using these parameters.

5 In the Fig. 2 embodiment the arm angle calculation part 24 represents motions of respective parts based on one motion modeling scheme selected by the motion modeling part 23, the interpolation method has a defect that the motions becomes uniform and monotonous in the case of generating animations  
10 that do not primarily aim at motions accompanying the human walking, such as the arm motion or the like. In the case of representing motions based on the equation of motion, the number of degrees of freedom increases according to the model building method, resulting in an increase in the  
15 computational complexity. Further, since the method for generating animations from image data of the human body in motion by a video camera or position detected data by a magnetic sensor is difficult of application to various motion scenes, it is necessary to acquire a wide variety of motion  
20 data. In Fig. 5 there is illustrated in block form an embodiment of the invention that overcomes such defects.

This embodiment is identical in basic configuration with the Fig. 2 embodiment but differs from the latter in that the motion modeling part 23 is provided with plural (three in  
25 this example) kinds of modeling section 23a, 23b and 23c for modeling the motion state of the arm 12 by different methods. Another point of difference is that the arm angle calculation part 24 has calculation sections 24a, 24b and 24c



respectively corresponding to the modeling sections 23a, 23b and 23c of the motion modeling part 23. Additionally, this embodiment has an angle combine/output part 26 that performs weighted combining of calculated angles. This embodiment will be described below.

As in the case of Fig. 2, the configuration modeling part 21 is supplied with input data from the input part 6, such as sizes, mass, shapes and boundary conditions (movable ranges of respective parts of a multi-articulated model of the human body, and uses the data to dispose respective parts of a structure formed by rigid sticks linked by joints, an arm model in this case. While in Fig. 4 the angles  $\phi_1$  and  $\phi_2$  are chosen so that the counterclockwise direction about the rigid stick 11 is positive, any coordinate system can be used as long as the orientation or configuration of the arm 12 can be represented unequivocally.

Next, the point position determination part 22 computes the positions of the shoulder joints  $13_R$  and  $13_L$ . The model of the shoulder is not limited specifically to that shown in Fig. 3 or 4 but may be others as long as the coordinates  $(x_s, y_s, z_s)$  of the should joint can be calculated.

The motion modeling part 23 models the motion state of the arm model in the system of Fig. 4 by three different methods in this example. That is, based on the arm model and physical quantities determined in the configuration modeling part 21, the motion modeling part 23 determines modeling by equations of motion or modeling by equations of interpolation and outputs the models.

Let it be assumed, for example, that the coordinate system used is a system in which the arm 12 assumes a state  $q_0=q(t_0)$  at time  $t_0$ , a state  $q_1=q(t_1)$  at time  $t_1$  and a state  $q_2=q(t_2)$  at time  $t_2$  as shown in one constraint plane 15 in Fig. 6 and that constraints for the angles  $\phi_1$  and  $\phi_2$  are, for example,  $-\pi/2 < \phi_1 < \pi/2$  and  $0 < \phi_2 < \pi$ .

In the motion modeling section 23a, the motions between the states  $q_0$ ,  $q_1$  and  $q_2$  are assumed to be linear motions, that is, the motion from the state  $q(t_0)$  to  $q(t_1)$  and from  $q(t_1)$  to  $q(t_2)$  are regarded as constant-speed motion states, and an equation of the motion state, which represents the angular position and/or angular velocity at given time  $t$ , is formulated using the linear interpolation method.

In the motion modeling section 23b, the motion states between the states  $q_0$ ,  $q_1$  and  $q_3$  are assumed to be states of motion at a velocity approximated by a sine curve, for instance, and an equation of the motion state, which represents the angular position and/or angular velocity at given time  $t$ , is formulated using the sine interpolation method.

In the motion modeling section 23c, these states  $q_0$ ,  $q_1$  and  $q_2$  are assumed to be motion states that obey laws of physics, and they are defined as motions that result from the aforementioned Lagrange's equation of motion (6), where  $q$  is generalized coordinates  $(\phi_1, \phi_2)$  of this system and  $F_q$  a generalized force concerning  $q$ . In this instance, the generalized force may be any force as long as the system can represent the states  $q_0$ ,  $q_1$  and  $q_2$ .

5

10

motion state determined by the motion modeling section 23c.

15

20

or

$$\phi_i(t^k) = \alpha\phi_i^a(t^k) + \beta\phi_i^b(t^k) + \gamma\phi_i^c(t^k), \quad i=1,2$$

25

combine output values of angular velocities by such a linear

combination as Eq. (7) or (8) in each motion state and then calculate the joint angles, instead of such angles as mentioned above. The angular positions  $\phi_1$  and  $\phi_2$  of the upper and lower arms 12<sub>1</sub> and 12<sub>2</sub> at each point in time  $t$  thus  
5 obtained are provided to the display part 7. As a result, a variety of motion states of the arm 12 close to natural arm motions can be generated with a small computational quantity.

In this example, motions of the arm 12 can efficiently be calculated as motions accompanying those of the rigid stick  
10 11 between the both shoulders. Further, by combining or overlapping calculation results of plural motion states, it is possible to generate, for example, linear or dynamic motions alone, and by arbitrarily combining these motions, various other motion states can also be generated.

15 While this example has been described in connection with the state transition of the arm motion from  $q_0$  to  $q_1$  and to  $q_2$ , the same results as mentioned above could be obtained in the case of the state transition in the reverse direction from  $q_2$  to  $q_1$  and to  $q_0$  or in the case of periodic motions as  
20 well.

In the combining shown by Eqs. (7) and (8), different combinations of motion models for the individual rigid sticks of the multi-articulated structure may also be chosen. In such an instance, by selecting the combinations of motion  
25 models in accordance with the accuracy or complexity required for the respective rigid sticks, the computational quantity could efficiently be assigned to each of them. Turning next to Fig. 7, a description will be given of an embodiment which

facilitates implementation of such combinations. In this embodiment, for each parameter of the multi-articulated structure modeling the human body, an optimum motion modeling method is selected in accordance with the processing efficiency and/or required reality. To this end, a motion model select part 27 is interposed between the motion modeling part 23 and the arm angle calculation part 24 to determine which motion modeling scheme (or constant) is used for each rigid stick. Moreover, this embodiment employs plural (three) sets of motion modeling select parts 27 and arm angle calculation parts 24 to prepare plural sets of different combinations of motion modeling schemes for each of the rigid sticks that model the arm, and as required, results of arm angle calculations by different set of such motion modeling schemes are subjected to weighted combining in the combine/output part 26.

The configuration modeling part 21 determines the configuration of the arm as shown in Fig. 4, for instance. That is, the parameters that are designated in this case are the angle of rotation  $\delta$  of the shoulder about the axis in the forward direction (indicating rocking of the shoulder), the angle of rotation  $\theta$  of the shoulder about the axis in the vertical direction, the angle of rotation  $\rho$  of the constraint plane  $15_1$  containing the upper arm from the vertical plane (indicating the angle between the upper arm and the side of the human figure under the armpit), the angle  $\phi_1$  of the upper arm  $12_1$  in the constraint plane  $15_1$ , the angle of rotation  $\xi$  of the constraint plane  $15_2$  containing the lower arm  $12_2$  about

the upper arm  $12_1$  and the angle  $\phi_2$  of the lower arm  $12_2$  in the constraint plane  $15_2$ , and the reference position  $O$  is set at the center of the shoulder in Fig. 4, for instance.

Thereafter, the joint position determination part 22 determines the position of the point  $O$  designated to be the origin in Fig. 4. When the configuration modeling part 21 designates the coordinates  $(x_0, y_0, z_0)$  of the origin  $O$  to be at another point, the joint position determination part 22 determines the that position. For example, when the point  $O$  is derivable from the motion of another part of the body, a certain point of that part is connected to the origin  $O$ .

After this, the motion modeling part 23 determines procedures of plural motion modeling schemes to be used. While this embodiment employs three kinds of motion modeling schemes, any other schemes may be added.

For example, the motion modeling section 23 utilizes dynamics. In this instance, the afore-mentioned Lagrange's equation of motion (6) for this coordinate system is formulated by a well-known scheme of dynamics. Here, the generalized coordinates  $q$  represent  $\delta, \theta, \rho^R, \rho^L, \phi_1^R, \phi_1^L, \phi_2^R, \phi_2^L, \xi^R$  and  $\xi^L$ , and the generalized force  $Fq$  is a torque corresponding to the individual coordinates, the suffixes  $R$  and  $L$  indicating the right and the left side, respectively. In this case, there exist 10 equations of motion for each coordinate.

For example, the motion modeling section 23b determines the parameter value at each point in time by the linear interpolation scheme. For example, where the values  $q_0$  and  $q_1$

of a certain motion state parameter  $q$  at initial and final points in time  $t_0$  and  $t_1$  of the motion are already determined, the value at an arbitrary time  $t$  (where  $t_0 \leq t \leq t_1$ ) between the initial and final points in time is determined by linear interpolation. The same goes for the case where a value  $q_m$  at time  $t_m$  (where  $t_0 \leq t_m \leq t_1$ ) is already determined at initial time  $t_0$ . It is no problem how many such values exist at points between the initial and final ones. Further, the parameters may also take the same value from initial time  $t_0$  to final one  $t_1$ .

For example, the motion modeling section 23c determines the parameter value at each time by such a nonlinear interpolation as a sine function interpolation. As is the case with the modeling section 23b, when the parameter value at a certain point in time is already determined, the value of the parameter  $q$  at each time  $t$  is determined by such a combination of second- and third-order equations and an elementary that the parameter takes the already value at that time.

Following this, the motion model select part 27 determined the motion state of the arm for which a calculation is actually conducted. For instance:

(a) In the case of conducting dynamic calculations for all parameters, only the motion modeling section 23a is used.

(b) A certain parameter is set at a fixed value and the motion modeling section 23b is used for the other remaining parameters.

(c) A certain parameter is set at a fixed value, the

modeling section 23a is used for some of the remaining parameters, the modeling section 23b for some of the other remaining parameters and the modeling section 23c for the remaining parameters.

- 5 By this, methods for computing all the parameters are determined.

The arm angle calculation part 24 performs actual angle calculations in the calculating sections 24a, 24b and 24c following the parameter calculating methods determined as  
10 described above. In this instance, it is also possible, with a view to providing increased efficiency for the calculation procedure, to conduct calculations at each time in the following order:

- (a) Of the parameters handled by the modeling sections  
15 23b and 23, parameters independent on other parameter values are calculated;

(b) Of the parameters handled by the modeling sections 23b and 23, parameters dependent on other parameter values are calculated;

- 20 (c) Parameters defined by the modeling section 23a are calculated.

The results calculated in the arm angle calculation part 24 may be used intact as output values, but other combinations of parameter calculating methods can be used.  
25 In the embodiment of Fig. 7, there are provided pairs of motion modeling select sections and angle calculation sections 27', 24' and 27", 24" similar to the pair of motion modeling part 27 and angle calculation part 24 so that a



combination of motion models to be applied to each rigid stick, different from the combination of motion models selected by the motion model select part 27, is selected and that arm angles are calculated based on the newly selected combination of motion models. The results of angular position calculations by the arm angle position calculating parts 24, 24' and 24" for the rigid sticks respectively corresponding thereto are suitably weighted and combined in the combine/output part 26, from which the combined output is fed to the display part 7. In this case, the angle or angular velocity values at each point in time may also be combined.

This embodiment is advantageous in that the computing time can be reduced as compared in the case of computing all parameters through utilization of dynamics in Fig. 4, for example, and that the value of a particular parameter can be varied arbitrarily or held constant.

While the present invention has been described as being applied to the representation or creation of the motion state of the arm 12, it is evident that the invention is also applicable to the representation of the leg motion of a walking human, for instance.

The animation generating methods of the present invention described previously with reference to Figs. 2, 5 and 8 are each prestored as animation generating sequences in a memory or similar recording medium and the animation is generated by a computer or DSP following the generating sequences read out of the recording medium.

EFFECT OF THE INVENTION

As described in the above, according to the present invention, since motions of respective rigid sticks connected by joints to form a multi-articulated structure are represented by parameters in a constraint plane for easier recognition of their contribution to the motions, animations can efficiently be generated without any particular skill. Further, the joint is a model approximated by a physical pendulum and the joint motion is represented by an equation of motion formulated for the model--this permits more realistic calculation of motions of the arm joint or the like. Moreover, by using plural motion models and applying an arbitrary motion state to each parameter representing the arm, animations can efficiently be created and the individual parameters can be controlled with ease. Additionally, since angles or angular velocities formed by plural motion states are combined, a variety of motion states can be represented.

It will be apparent that many modifications and variations may be effected without departing from the scope of the novel concepts of the present invention.

WHAT IS CLAIMED IS:

1. A method for generating animations of the human body including both shoulders and arms modeled by a multi-articulated structure formed by plural rigid sticks connected by joints, said method comprising the steps of:

(a) defining a constraint plane in which said rigid sticks having modeled each of said arms are allowed to move about said joint connecting them;

(b) determining parameters that define angular positions of said rigid sticks in said constraint plane and constructing motion models of said rigid sticks by using said parameters; and

(c) generating motions of said rigid sticks having modeled said each arm by calculating temporal changes in said angular positions of said rigid sticks on the basis of said motion models.

2. The method of claim 1, wherein said step (b) includes a step of selectively applying either a motion modeling scheme using laws of physics or a different motion modeling scheme not based on said laws of physics for said parameters defining said angular positions of said rigid sticks.

3. The method of claim 2, wherein there are prepared a plurality of said motion modeling schemes not based on said laws of physics.

4. The method of claim 2 or 3, wherein said step (b) includes a step of constructing plural motion models for said parameters of said rigid sticks and said step (c) includes a step of performing weighted-combining of parameters generated

by said plural motion models.

5. The method of claim 4, wherein said weighted-combining is linear weighted-combining.

6. The method of claim 4, wherein said weighted-combining is nonlinear weighted-combining.

7. The method of claim 4, wherein weights of said weighted-combining are changed with time.

8. The method of claim 2 or 3, wherein said step (b) includes a step of approximating said motions of said rigid sticks by a physical pendulum to construct said motion models and formulating equations of motion for said models by said physical pendulum to represent said motions of said rigid sticks.

9. The method of claim 2 or 3, wherein said physical pendulum includes two rigid sticks corresponding to upper and lower arms of said each arm and connected at one end by one joint to each other, one of said rigid sticks having its other end connected to the joint of one of said shoulders.

10. The method of claim 2 or 3, wherein said step (b) includes a step of calculating the position of said joint serving as a fulcrum of said physical pendulum of said rigid sticks and said step (c) includes a step of calculating, by said equations of motion, angular positions or angular velocities representing the configurations of said rigid sticks at a certain point in time.

11. The method of claim 10, wherein said step (b) includes a step of constructing a plurality of different models of said motions of said rigid sticks and said step (c)

includes a step of calculating said angular positions or angular velocities of said rigid sticks by using said plurality of different models respectively corresponding thereto and performing weighted-combining of them to represent motion states of said rigid sticks.

12. The method of claim 1, wherein: said step (b) includes a step of approximating said motions of said rigid sticks by a physical pendulum to construct arm models, determining motion modeling equations by applying equations of motion and an interpolation scheme to said arm models, and calculating the position of a joint which serves as a fulcrum of said physical pendulum of each of said arm models; and said step (c) includes a step of calculating, by said motion modeling equations, angular positions or angular velocities representing the configuration of said each arm at a certain point in time and performing weighted combining of said angular positions or angular velocities, thereby representing the motion state of said each arm.

13. The method of claim 12, wherein said step (b) includes a step of determining physical quantities including the lengths, mass, centroids, maximum expansion and bending angles of said upper and lower arms of said each arm for said modeling of motions of said arm models.

14. The method of claim 12, wherein said equations of motion are determined on the assumption that motions of said arm models are motions by models constructed by approximating said joint.

15. The method of claim 12, wherein said equations of

motion are overlapped with functions representing preset motions, thereby representing motions of said each arm.

16. The method of claim 12, wherein the step of calculating the position of said joint includes a step of calculating the coordinate value, velocity and acceleration of said joint regarded as a point in a 3D space.

17. The method of claim 12, wherein said weighted-combining is linear weighted-combining.

18. The method of claim 12, wherein said weighted-combining is nonlinear weighted-combining.

19. The method of claim 12, wherein weights of said weighted-combining are changed with time.

20. The method of claim 12, wherein parameters which defines motions of said rigid stick joining said both shoulders include an angle  $\theta$  through which said rigid stick is rotated on a vertical coordinate axis passing through the center of said rigid stick joining said both shoulders.

21. The method of claim 20, wherein parameters which defines motions of said rigid stick joining said both shoulders include an angle  $\delta$  through which said rigid stick is rotated on a horizontal coordinate axis passing through the center of said rigid stick joining said both shoulders.

22. The method of claim 20, which further includes a step of determining an angle  $\rho$  between said constraint plane containing said rigid sticks modeling said each arm and said vertical coordinate axis in response to centrifugal force caused by the rotation of said both arms resulting from said rotation of said rigid stick on said vertical coordinate

axis.

23. An apparatus for generating animations of the human body including both shoulders and arms modeled by a multi-articulated structure formed by plural rigid sticks connected by joints, said apparatus comprising:

configuration modeling means for disposing said rigid sticks in respective constraint planes;

shoulder position calculating means for calculating the position of a joint of each of said shoulder;

motion modeling means for determining motion models representing motions of said rigid sticks; and

arm angle calculating means for calculating, for each of said motion models, the angular position of said each arm representing its configuration at a given point in time.

24. The apparatus of claim 23, wherein said motion modeling means includes plural modeling sections for modeling motions of said rigid stick of said each arm with plural different models and said arm angle calculating means includes plural calculating sections for calculating the angular positions or angular velocities of said each arm by using said motion models determined by said plural modeling sections, and which further includes angle combine/output means for performing weighted combining of said plural angular positions or angular velocities calculated by said plural calculating sections of said arm angle calculating means, thereby obtaining the angular position or angular velocity of said each arm.

25. The apparatus of claim 23, wherein said motion

modeling means includes plural modeling sections for modeling motions of said rigid stick of said each arm with plural different models and said arm angle calculating means includes plural calculating sections for calculating the angular positions or angular velocities of said each arm by using said motion models determined by said plural modeling sections, and which further includes motion model select means for selectively designating, for each of said rigid sticks, which one of said motion models by said plural modeling sections is to be used to calculate said angular positions.

26. The apparatus of claim 25, which further comprises said motion modeling means and said arm angle calculating means provided in pairs, said motion model select means of said pairs selecting a different combination of motion models for said each rigid stick, and combine/output means whereby angular positions or angular velocities output from said arm angle calculating means of said pairs are subjected to weighted combining for each corresponding rigid stick.

27. The apparatus of claim 24 or 25, wherein said plural motion models include motion models by an equation of motion based on laws of physics and motion models based on an interpolation scheme.

28. The apparatus of claim 27, wherein said motion models based on said interpolation scheme include a motion model of the motion of said each rigid stick by approximating it by a uniform angular velocity motion and using a linear interpolation scheme, a motion model of the motion of said



each rigid stick by approximating it by a sine function and using a sine function interpolation scheme, and a motion model of the motion of said each rigid stick by using an equation of motion which obeys laws of physics.

29. The apparatus of claim 24 or 25, wherein said configuration modeling means is means for modeling the motion of said each arm as a physical pendulum with its fulcrum at each of said shoulders.

30. The apparatus of claim 29, wherein said physical pendulum contains two rigid sticks corresponding to upper and lower arms of said each arm and connected at one end by a joint to each other, one of said rigid sticks having its other end connected to the joint of one of said shoulders.

31. The apparatus of claim 23, wherein said motion modeling means is means for modeling the motion of an arm model of said each arm and the motion of a shoulder model of each of said shoulders independently of each other.

32. The apparatus of claim 23, wherein said motion modeling means is means for modeling by overlapping an equation of motion of an arm model of said each arm with a preset function representing a motion.

33. The apparatus of claim 23, wherein said configuration modeling means includes means whereby said rigid stick having modeled said shoulder is modeled so that it rotates at its center lengthwise thereof about a vertical coordinate axis within the range of a predetermined angle  $\theta$ .

34. The apparatus of claim 23, wherein said configuration modeling means includes means whereby said rigid stick having

modeled said shoulder is modeled so that it rotates at its center lengthwise thereof about a horizontal axis within the range of a predetermined angle  $\delta$ .

35. A recording medium which has recorded therein a procedure for generating animations of the human body including both shoulders and arms modeled by a multi-articulated structure formed by plural rigid sticks connected by joints, said procedure comprising the following sequence of operations of:

(a) defining a constraint plane in which said rigid sticks having modeled each of said arms are allowed to move about said joint connecting them;

(b) determining parameters that define angular positions of said rigid sticks in said constraint plane and constructing motion models of said rigid sticks by using said parameters; and

(c) generating motions of said rigid sticks having modeled said each arm by calculating temporal changes of said parameters.

36. The recording medium of claim 35, wherein said operation (b) includes an operation of selectively applying either a motion modeling scheme using laws of physics or a different motion modeling scheme not based on said laws of physics for said parameters defining said angular positions of said rigid sticks.

37. The recording medium of claim 35, wherein: said operation (b) includes an operation of approximating said motions of said rigid sticks by a physical pendulum to

construct arm models, determining motion modeling equations by applying equations of motion and an interpolation scheme to said arm models, and calculating the position of a joint which serves as a fulcrum of said physical pendulum of each of said arm models; and said operation (c) includes an operation of calculating, by said motion modeling equations, angular positions or angular velocities representing the configuration of said each arm at a certain point in time and performing weighted combining of said angular positions or angular velocities, thereby representing the motion state of said each arm.

ABSTRACT OF THE DISCLOSURE

The present invention offers a method for generating animations of a multi-articulated structure, a recording medium with the method recorded therein and an animation generating apparatus using the method. Motions of rigid sticks which approximate the upper arm connected to the shoulder joint and the lower arm connected by the elbow joint to the upper arm are modeled, by an interpolation scheme and/or equations of motion based on laws of physics, as temporal changes in angular positions in their respective constraint planes and the generated results are combined and output.

	1970	1971	1972	1973	1974	1975	1976	1977	1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099	2100	2101	2102	2103	2104	2105	2106	2107	2108	2109	2110	2111	2112	2113	2114	2115	2116	2117	2118	2119	2120	2121	2122	2123	2124	2125	2126	2127	2128	2129	2130	2131	2132	2133	2134	2135	2136	2137	2138	2139	2140	2141	2142	2143	2144	2145	2146	2147	2148	2149	2150	2151	2152	2153	2154	2155	2156	2157	2158	2159	2160	2161	2162	2163	2164	2165	2166	2167	2168	2169	2170	2171	2172	2173	2174	2175	2176	2177	2178	2179	2180	2181	2182	2183	2184	2185	2186	2187	2188	2189	2190	2191	2192	2193	2194	2195	2196	2197	2198	2199	2200	2201	2202	2203	2204	2205	2206	2207	2208	2209	2210	2211	2212	2213	2214	2215	2216	2217	2218	2219	2220	2221	2222	2223	2224	2225	2226	2227	2228	2229	2230	2231	2232	2233	2234	2235	2236	2237	2238	2239	2240	2241	2242	2243	2244	2245	2246	2247	2248	2249	2250	2251	2252	2253	2254	2255	2256	2257	2258	2259	2260	2261	2262	2263	2264	2265	2266	2267	2268	2269	2270	2271	2272	2273	2274	2275	2276	2277	2278	2279	2280	2281	2282	2283	2284	2285	2286	2287	2288	2289	2290	2291	2292	2293	2294	2295	2296	2297	2298	2299	2300	2301	2302	2303	2304	2305	2306	2307	2308	2309	2310	2311	2312	2313	2314	2315	2316	2317	2318	2319	2320	2321	2322	2323	2324	2325	2326	2327	2328	2329	2330	2331	2332	2333	2334	2335	2336	2337	2338	2339	2340	2341	2342	2343	2344	2345	2346	2347	2348	2349	2350	2351	2352	2353	2354	2355	2356	2357	2358	2359	2360	2361	2362	2363	2364	2365	2366	2367	2368	2369	2370	2371	2372	2373	2374	2375	2376	2377	2378	2379	2380	2381	2382	2383	2384	2385	2386	2387	2388	2389	2390	2391	2392	2393	2394	2395	2396	2397	2398	2399	2400	2401	2402	2403	2404	2405	2406	2407	2408	2409	2410	2411	2412	2413	2414	2415	2416	2417	2418	2419	2420	2421	2422	2
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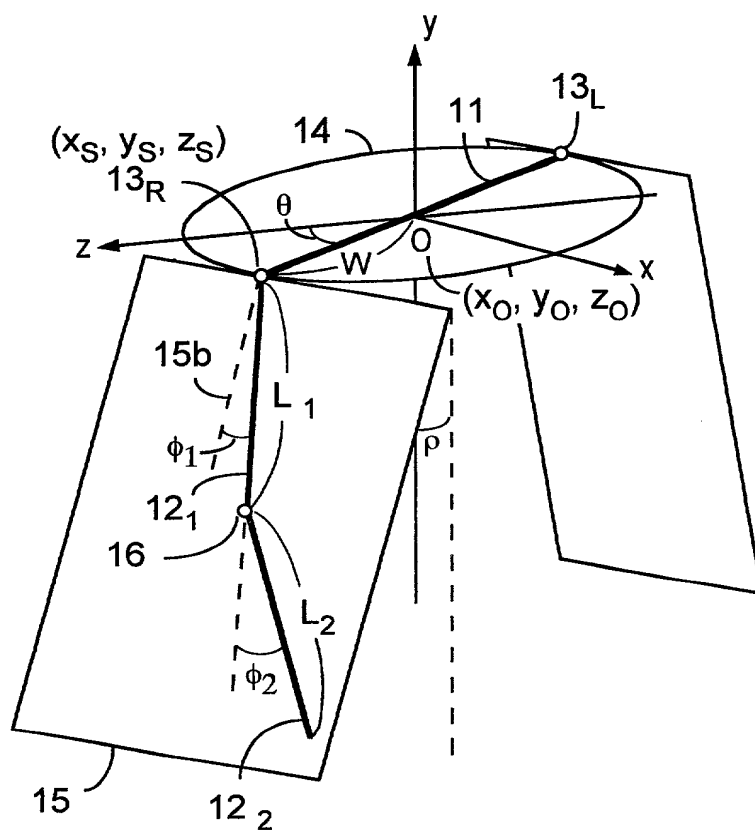


FIG.2

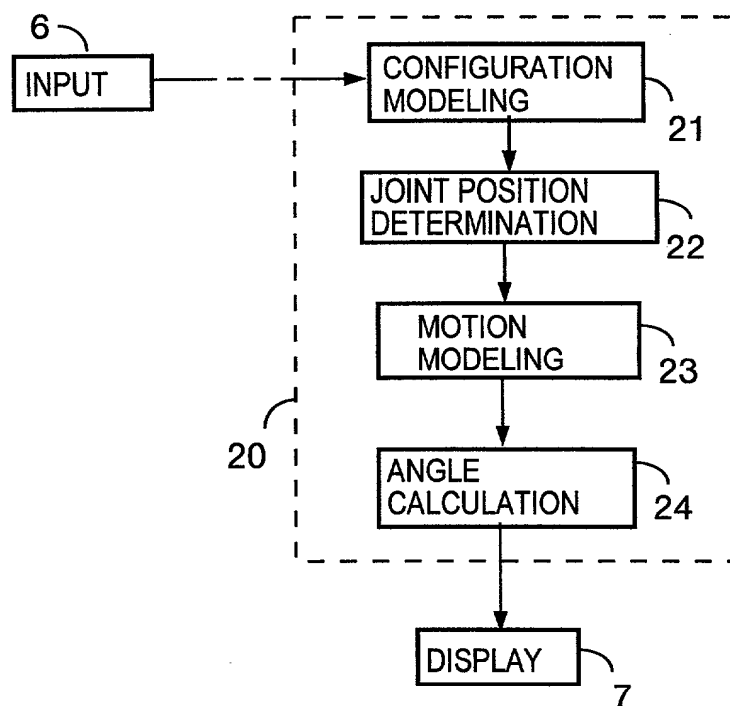


FIG. 3

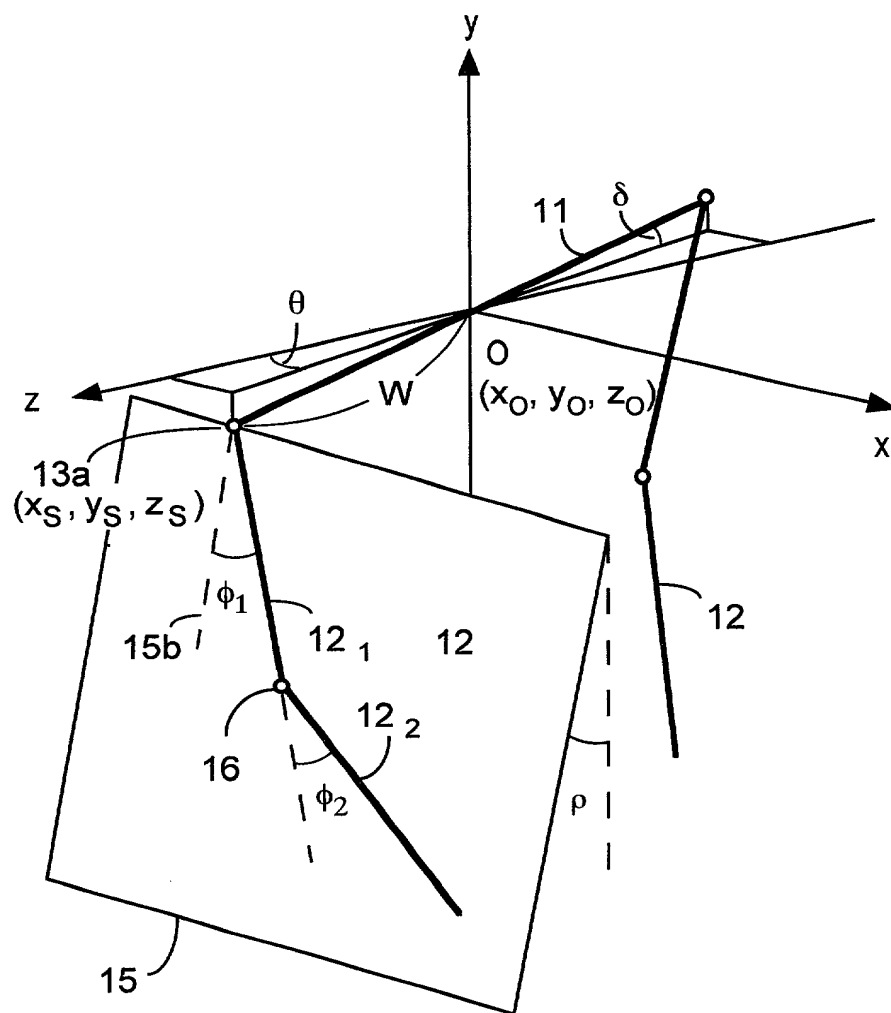


FIG. 4

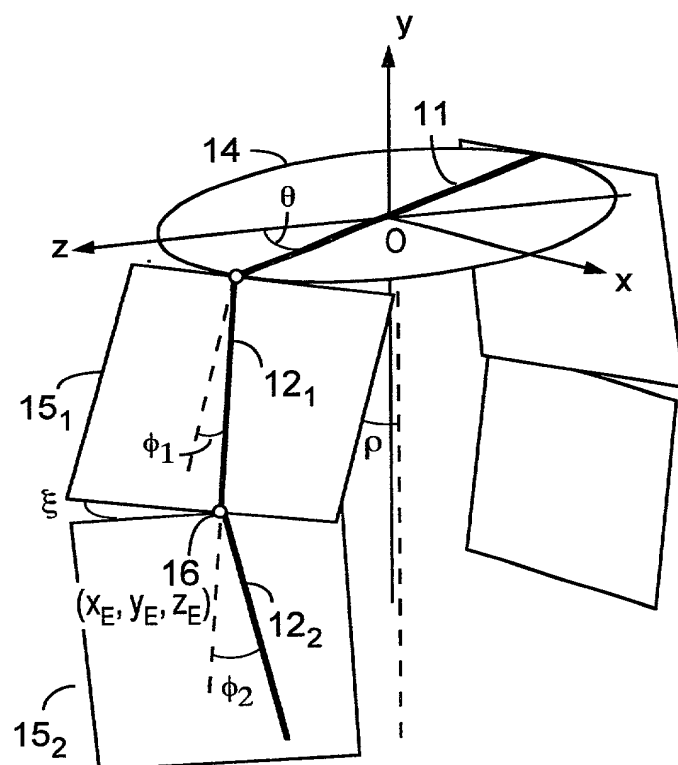




FIG. 5

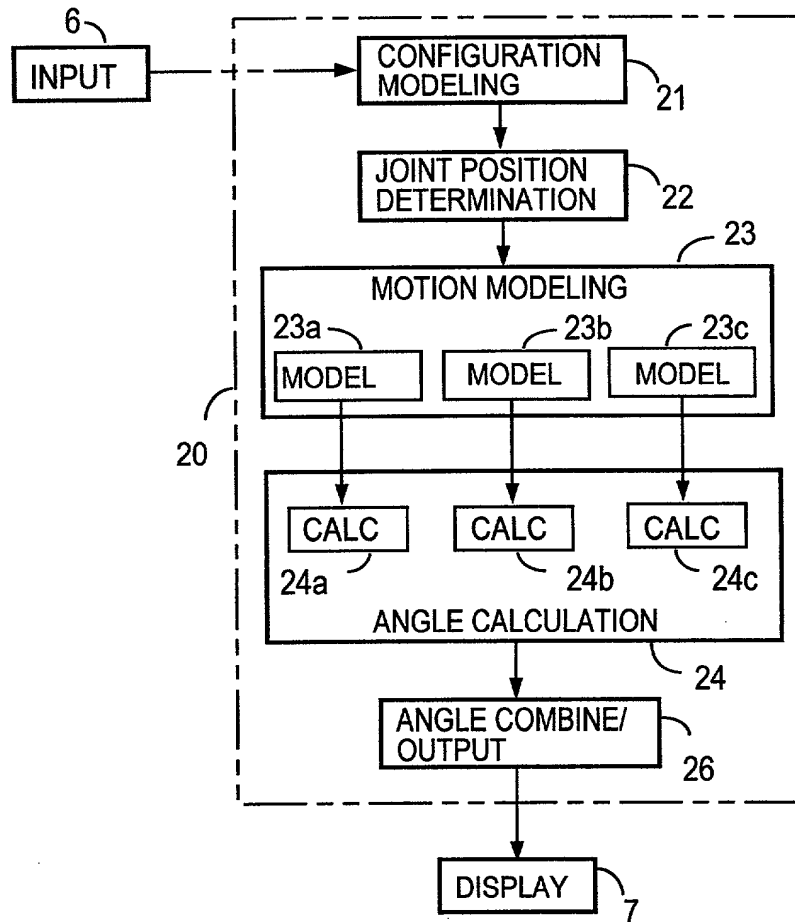


FIG. 6

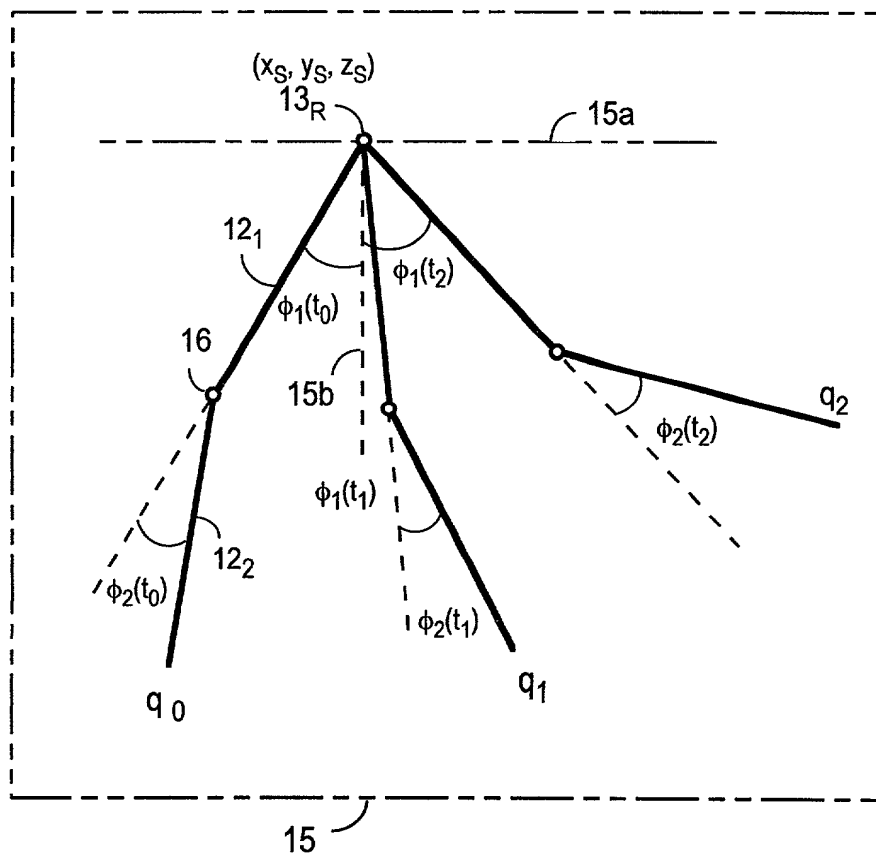
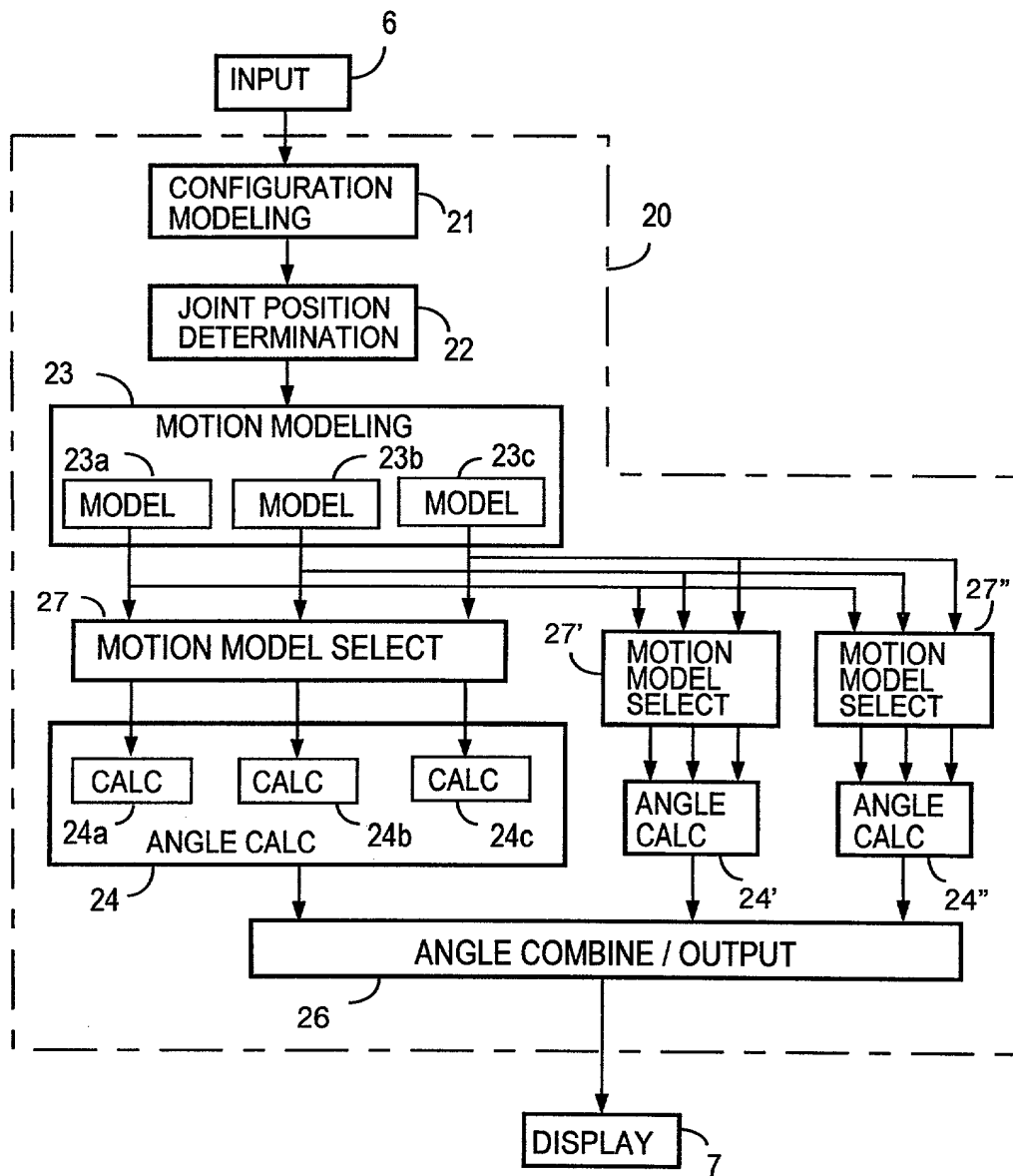


FIG. 7



## DECLARATION AND POWER OF ATTORNEY

U.S.A.

As a below-named inventor, I hereby declare: My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled See attached sheet, the specification of which

☒ is attached hereto.

☐ was filed on \_\_\_\_\_, as application Serial No. \_\_\_\_\_, and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above, and acknowledge a duty to disclose information which is material to the examination of this application under 37 CFR 1.56(a). I hereby claim priority benefits under 35 U.S.C. §119 based on any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate on the present invention, filed before the application(s) in which priority is claimed.

FOREIGN APPLICATION(S), IF ANY, REFERRED TO ABOVE			
COUNTRY	APPLICATION NO.	DATE	PRIORITY CLAIMED
Japan	219972/96	August 21, 1996	YES <u>x</u> NO ____
			YES ____ NO ____
			YES ____ NO ____

I hereby claim benefit under 35 U.S.C. §120 of any U.S. application(s) listed below. If the subject matter of any claim(s) of this application is not disclosed in the prior U.S. application(s) as required by paragraph one of 35 U.S.C. §112, I acknowledge a duty to disclose material information as defined in 37 CFR 1.56(a) regarding occurrences between the filing date of the prior application(s) and the national or PCT international filing date of this application.

SERIAL NUMBER	FILING DATE	STATUS

I hereby appoint Elliott I. Pollock, RN (Registration No.) 16,906; George Vande Sande, RN 17,276; Robert R. Priddy, RN 20,169; Burton A. Amernick, RN 24,852; Stanley B. Green, RN 24,351; Richard Wiener, RN 18,741; Townsend M. Belser, Jr., RN 22,956; Morris Liss, RN 24,510; Martin Abramson, RN 25,787; George R. Pettit, RN 27,369; Louis Woo, RN 31,730; Elzbieta Chlopecka, RN 32,767; Eric Franklin, RN 37,134, John Hoel RN 26,279, Joseph C. Redmond, Jr, RN 18753, and Joseph P. Curtin, RN 34,571 my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

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All statements made herein of my own knowledge are true. All statements made on information and belief are believed to be true. These statements were made with the knowledge that willful false statements and the like so made are punishable by fine, imprisonment, or both, under 18 U.S.C. 1001 and may jeopardize the validity of the application or any patent issuing thereon.

Note: Please sign one full given name and your surname, using initials where appropriate for other names. It is important that the name be consistent throughout the application papers. Signing of an application more than five weeks prior to filing or an undated application is not acceptable to the Patent and Trademark Office except for receiving an initial filing date.

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